

Set No.: PF-0600 USN

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box PCT, Commissioner for Patents, PO Box 2327, Arlington, VA 22202 on October 30, 2002.

By: Joyce Abriam Printed name: Joyce Abriam

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Tang et al.

Title: RNA-ASSOCIATED PROTEINS

Serial No.: 09/787,491

Filing Date: To Be Assigned

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

Box PCT  
Commissioner for Patents  
PO Box 2327  
Arlington, VA 22202

**TRANSMITTAL FEE SHEET**

Sir:

Transmitted herewith are the following for the above-identified application:

1. Return Receipt Postcard;
2. Response to Notification of Defective Response (2 pp.);
3. Copy of Notification of Defective Response dated October 4, 2002 (11 pp.);
4. Substitute Submission Under 37 CFR § 1.821-1.825 Sequence Listing (1 pg.);
5. One (1) Substitute CRF diskette containing the Sequence Listing;
6. Paper copy of substitute Sequence Listing (44 pp.); and
7. Certificate under 37 C.F.R. §3.73(b), Revocation of Power of Attorney and Appointment of New Attorneys (1 pg.).

The fee has been calculated as shown below:

☒ No additional Fee is required.

☐ Please charge Deposit Account No. **09-0108** in the amount of : \$ \_\_\_\_\_

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17, or credit overpayment to Deposit Account No. 09-0108. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,  
INCYTE GENOMICS, INC.

Date: 30 Oct 2002

Diana Hamlet-Cox  
Diana Hamlet-Cox  
Reg. No. 33,302  
Direct Dial Telephone: (650) 845-4639

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3160 Porter Drive  
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RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Sir:

In response to the "Notification of Defective Response" mailed by the United States Patent and Trademark Office on October 4, 2002, (copy attached), Applicants submit the document(s) listed below to complete the filing for the above-identified patent application.

1. Substitute Submission Under 37 CFR 1.821-1.825 Sequence Listing (1 pg.);
2. One (1) Substitute CRF diskette containing the Sequence Listing; and
3. Paper copy of substitute Sequence Listing (44 pp.).

The Applicants believe that no fee is due with this communication. However, if the Commissioner determines that additional fees are due or that an excess fee has been paid, the Patent Office is authorized to debit or credit (respectively) Deposit Account No. 09-0108.

Respectfully submitted,  
INCYTE GENOMICS, INC.

Date: 30 Oct 2002



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**SUBSTITUTE SUBMISSION UNDER 37 CFR §1.821- 1.825 SEQUENCE LISTING**

Sir:

In accordance with the requirements of 37 CFR §1.821- 1.825, Applicants hereby submit one (1) substitute diskette containing the computer-readable information for the "Sequence Listing" of the above-identified application. The substitute diskette complies with the requirements of 37 CFR §1.824 and is IBM PC compatible using a Windows NT Operating System with WordPerfect software and saved in ASCII text format.

Enclosed is a paper copy of the Substitute Sequence Listing.

The content of the Substitute Sequence Listing paper copy is identical to the computer-readable copy, as required under 37 CFR § 1.821(f). No new matter has been added.

Respectfully submitted,  
INCYTE GENOMICS, INC.

Date: 30 October 2002

Diana Hamlet-Cox

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OCT 15 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

|   |                                     |                                 |
|---|-------------------------------------|---------------------------------|
| U.S. APPLICATION NUMBER NO.<br>09/787,491       | FIRST NAMED APPLICANT<br>Tom Y Tang | ATTY. DOCKET NO.<br>PF-0600 USN |
| INTERNATIONAL APPLICATION NO.<br>PCT/US99/21688 |                                     |                                 |
| I.A. FILING DATE<br>09/17/1999                  |                                     | PRIORITY DATE<br>09/17/1998     |

Incyte Genomics  
3160 Porter Drive  
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CONFIRMATION NO. 3957  
371 FORMALITIES LETTER



Date Mailed: 10/04/2002

### NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- o U.S. Basic National Fee
- o Priority Document
- o Biochemical Sequence Diskette
- o Biochemical Sequence Listing
- o Copy of references cited in ISR
- o Copy of the International Application
- o Copy of the International Search Report
- o Oath or Declaration

Applicant's response filed 03/15/2001 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 05/03/2001 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

The following items **MUST** be furnished within the period set forth below:

o The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- Does not comply, corrected diskette needed
- APPLICANT MUST PROVIDE:

- An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

○ For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 305-3656

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 09/787,491                  | PCT/US99/21688                | PF-0600 USN      |